



UNITED STATES PATENT AND TRADEMARK OFFICE



COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/863,058	05/22/2001	Hania Gajewska	14695/005001; P5114

CONFIRMATION NO. 7385

FORMALITIES LETTER



\*OC000000006326635\*

ROSENTHAL & OSHA, L.L.P.  
Suite 4550  
700 Louisiana  
Houston, TX 77002

Date Mailed: 07/20/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$468.
  - \$468 for 26 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1308.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/20/2001 MBERHE 00000050 09863058

01 FC:101	710.00 OP
02 FC:105	130.00 OP
03 FC:103	468.00 OP

SEP 17 2001

**TRANSMITTAL LETTER**  
(General - Patent Pending)

Docket No.  
14695/005001/P51147

In Re Application Of: Hania GAJEWSKA et al.

Serial No.  
09/863,058

Filing Date  
May 22, 2001

Examiner

Group Art Unit  
2122

Title: **HEURISTIC FOR GENERATING OPPOSITE INFORMATION FOR INCLUSION IN FOCUS EVENTS**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

**Power of Attorney**

in the above identified application.

- ☒ No additional fee is required.
- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Commissioner is hereby authorized to charge and credit Deposit Account No. **50-0591** as described below. A duplicate copy of this sheet is enclosed.
  - ☐ Charge the amount of \_\_\_\_\_
  - ☐ Credit any overpayment.
  - ☒ Charge any additional fee required.

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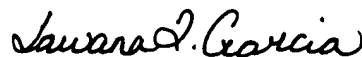
  
Signature

Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
700 Louisiana Street, Suite 4550  
Houston, Texas 77002

Telephone: 713/228-8600  
Facsimile: 713/228-8778

Dated: 5/17/01

I certify that this document and fee is being deposited on Sept. 19, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.



Signature of Person Mailing Correspondence

Tawana L. Garcia

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

N 2e for \$ #3  
Docket No.  
14695.005001;P5114

In Re Application Of: Hania GAJEWSKA et al

Serial No.  
09/863,058

Filing Date  
5/22/2001

Examiner

Group Art Unit  
2122

Invention: **HEURISTIC FOR GENERATING OPPOSITE INFORMATION FOR INCLUSION IN FOCUS EVENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on  
07/20/2001  
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application**  
**Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
14695.005001;P5114

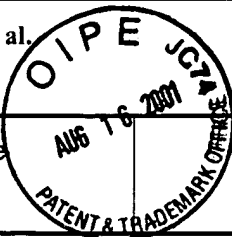
In Re Application Of: **Hania GAJEWSKA et al.**

Serial No.  
09/863,058

Filing Date  
5/22/2001

Examiner

Group Art Unit  
2122



Invention: **HEURISTIC FOR GENERATING OPPOSITE INFORMATION FOR INCLUSION IN FOCUS EVENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$710.00

☐ Design application filing fee \_\_\_\_\_

☒ Total number of independent claims = 3 \_\_\_\_\_

☒ Total number of claims = 46 \$468.00

☐ Multiple dependent claims \_\_\_\_\_

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$130.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

☐ Fee for processing an application filed with a non-English language specification \_\_\_\_\_

☐ Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees \$1,308.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month    ☐ Two months    ☐ Three months    ☐ Four months    ☐ Five months

from: \_\_\_\_\_ until: \_\_\_\_\_  
Date Date

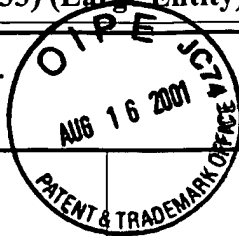
Total time extension fees \_\_\_\_\_

Total fees due \$1,308.00

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
14695.005001;P5114

In Re Application Of: Hania GAJEWSKA et al.



Serial No.  
09/863,058

Filing Date  
5/22/2001

Examiner

Group Art Unit  
2122

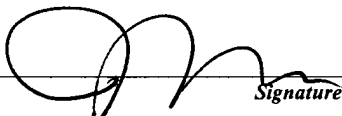
Invention: **HEURISTIC FOR GENERATING OPPOSITE INFORMATION FOR INCLUSION IN FOCUS EVENTS**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

The fee of **\$1,308.00** is to be paid as follows:

- ☒ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **50-0591**  
A duplicate copy of this sheet is enclosed.
- ☐ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.



*Signature*

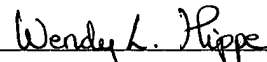
Jonathan P. Osha, Reg. No. 33,986  
ROSENTHAL & OSHA L.L.P.  
700 Louisiana, Suite 4550  
Houston, Texas 77002

Telephone: 713/228-8600  
Facsimile: 713/228-8778

Dated:

8/14/01

I certify that this document and fee is being deposited on AUGUST 14, 2001 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.



*Signature of Person Mailing Correspondence*

**Wendy L. Hippe**

*Typed or Printed Name of Person Mailing Correspondence*

CC:



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

POWER OF ATTORNEY

Docket No.  
(14695/005001) P5114

Name of Applicant: SUN MICROSYSTEMS, INC.  
Address of Applicant: 901 San Antonio Road, MS-PALOI-521  
Palo Alto, California 94303

Title: HEURISTIC FOR GENERATING OPPOSITE INFORMATION FOR INCLUSION  
IN FOCUS EVENTS

Serial No., if Any: 09/863,058  
Filed: May 22, 2001

TO THE ASSISTANT COMMISSIONER FOR PATENTS

The Assistant Commissioner for Patents  
Washington, D.C. 20231

Honorable Sir:

I hereby appoint:

Jonathan P. Osha, Reg. No. 33,986; Alan D. Rosenthal, Reg. No. 27,833; Richard A. Fagin, Reg. No. 39,182; David E. Mixon, Reg. No. 43,809; Adenike Adewuya, Reg. No. 42,254; Thomas K. Scherer, Reg. No. 45,079; Scott W. Hejny, Reg. No. 45,882; Y. Renee Alsandor, Reg. No. 45,883; Jeffrey S. Bergman, Reg. No. 45,925; Robert P. Lord, Reg. No. 46,479, of ROSENTHAL & OSHA L.L.P.; and

Kenneth Olsen, Reg. No. 26,493; Timothy J. Crean, Reg. No. 37,116; Alexander E. Silverman, Reg. No. 37,940; Anirma Rakshpal Gupta, Reg. No. 38,275; Sean P. Lewis, Reg. No. 42,798; Michael J. Schallopp, Reg. No. 44,319; Bernice B. Chen, Reg. No. 42,403; Noreen A. Krall, Reg. No. 39,734; Richard J. Lutton, Jr., Reg. No. 39,756; Monica D. Ward, Reg. No. 40,696; Marc D. Foodman, Reg. No. 34,110; Naren Chaganti, Reg. No. 44,602; Elaine Lee, Reg. No. 41,936; Hugh H. Matsubayashi, Reg. No. 43,779; Paul D. Sorkin, Reg. No. 39,039; Marilyn E. Glaubenslee, Reg. No. 35,521; Ramin Aghevli, Reg. No. 43,462; Andrew Chen, Reg. No. 43,544; and Jeffrey L. Myers, Reg. No. 44,252, of SUN MICROSYSTEMS, INC.

as principal attorneys to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct all future correspondence to:

Jonathan P. Osha  
ROSENTHAL & OSHA L.L.P.  
700 Louisiana Street, Suite 4550  
Houston, Texas 77002

Telephone: (713) 228-8600  
Facsimile: (713) 228-8778

By:

Kenneth Olsen, Reg. No. 26,493  
Vice President Intellectual Property  
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